

From 5-pass MQ -based identification to MQ -based signatures

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Peter Schwabe

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Our take on PQ-Crypto

Prepare for actual use

- Reliable security arguments (Reductions, cryptanalysis)
- Reliable security estimates (cryptanalysis – conventional / quantum)
- Tolerable sizes and speed.
- 128 bit post-quantum security
- Tight security reduction in standard model or QROM
- Sizes / Time ? Let's get a baseline...

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Setting the landscape (Signatures)

- Lattices: (Ring-)TESLA [ABB+16,ABBD15]
- Hash-based: SPHINCS [BHH+15] / XMSS [BDH11, HRS16]
- MQ : ?
- Codes: ???

- Post-quantum candidate.
- Mainly signatures (Encryption too recent)
- **Fast, small signatures, large keys** (100kb@100bit classical sec.)
- **Security?**

The history on security

Examples of broken schemes include

- **Oil-and-Vinegar** [Pat97] (**broken** in [KS98]),
- **SFLASH** [CGP] (**broken** in [DFSS07]),
- **MQQ-Sig** [GØJ+11] (**broken** in [FGP+15]),
- **(Enhanced) TTS** [YCC04,YC05] (**broken** in [TW12]), and
- **Enhanced STS** [TGTF10] (**broken** in [TW12]).

Essentially only two proposals still standing:

- **HFEv⁻** variants [PCG01,PCY+15] and
- **Unbalanced Oil-and-Vinegar (UOV)** variants [KPG99,DS05].

Why?

Is \mathcal{MQ} -Problem easy?

No, NP-complete! [GJ79]

So, why then?

- Attacks do not solve \mathcal{MQ} ,
- Ad-hoc designs
- Security actually based on $\mathcal{MQ} + \text{IP}$ [Pat96]
- IP often relies on (easy instance of) MinRank Problem [Cou01,FLP08]

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So no reliable signatures from \mathcal{MQ} ?

\mathcal{MQ} Signatures with security reduction

Sakumoto, Shirai, and Hiwatari, Crypto 2011

- Identification schemes (IDS) with reduction from \mathcal{MQ} ,
- 3- and 5-pass schemes
- 3-pass: Fiat-Shamir \Rightarrow inefficient signatures
- 5-pass: No transform / security reduction

El Yousfi Alaoui, Dagdelen, Véron, Galindo, and Cayrel,
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- Fiat-Shamir transform for $2n + 1$ pass IDS
- Loose reduction
- Signature from [SSH11] 5-pass IDS.

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So what's left to do?

We want:

- 128 bit post-quantum security
- Tight security reduction in standard model or QROM
- Sizes / Time ? Let's get a baseline...

TODO:

- Select parameters considering quantum attacks
- Tighten reduction / QROM
- (Optimized) Implementation

Easy, right? That's what we thought...

- (End 2015) Joost: “I can break this”
- (Still 2015) Easy fix (minor mistake in challenge generation)
- (JAN 2016) Marc Fischlin: “Strange that they only need two transcripts”
- (MAR 2016) Transform does not apply to [SSH11]
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Our contribution

- ✓ Proof that every IDS where [ADV+12] applies can be turned to 3-pass IDS
- ✓ Proof that [ADV+12] does not apply to \mathcal{MQ} (and to most other 5-pass IDS)
- ✓ New transform + reduction for (class of) 5-pass IDS to signature scheme
- ✓ New generic proposal MQDSS
- ✓ MQDSS-31-64: Instance with 128 bit security against quantum-computer-aided attacks
- ✓ Optimized implementation
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Fiat-Shamir – a primer

Canonical IDS

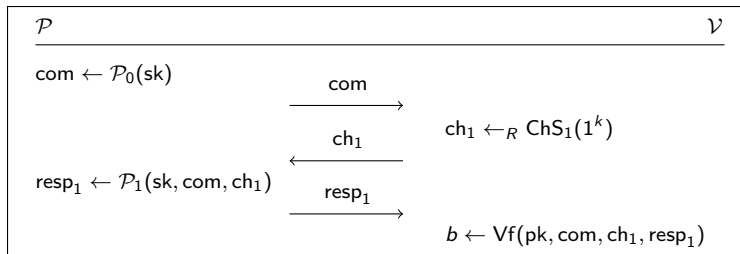


Figure : Canonical 3-pass IDS

Soundness

Definition (Soundness (with soundness error κ))

Let $k \in \mathbb{N}$, $\text{IDS} = (\text{KGen}, \mathcal{P}, \mathcal{V})$ an identification scheme. We say that IDS is sound with soundness error κ if for every PPT adversary \mathcal{A}

$$\left| \Pr \left[\begin{array}{l} (\text{pk}, \text{sk}) \leftarrow \text{KGen}(1^k) \\ \langle \mathcal{A}(1^k, \text{pk}), \mathcal{V}(\text{pk}) \rangle = 1 \end{array} \right] - \kappa \right| = \text{negl}(k).$$

Definition (Special soundness)

A canonical IDS is said to fulfill special soundness if there exists a PPT algorithm \mathcal{E} , called the extractor, that given two accepting transcripts $\text{trans} = (\text{com}, \text{ch}_1, \text{resp}_1)$ and $\text{trans}' = (\text{com}, \text{ch}'_1, \text{resp}'_1)$ with $\text{ch}_1 \neq \text{ch}'_1$ as well as the corresponding public key pk , outputs a matching secret key sk for pk with non-negligible success probability.

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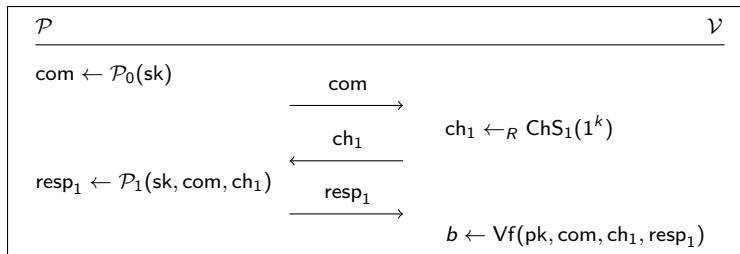


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Honest-Verifier Zero-Knowledge (HVZK)

Definition ((statistical) Honest-verifier zero-knowledge)

Let $k \in \mathbb{N}$, $\text{IDS} = (\text{KGen}, \mathcal{P}, \mathcal{V})$ an identification scheme. We say that IDS is statistical honest-verifier zero-knowledge if there exists a probabilistic polynomial time algorithm \mathcal{S} , called the simulator, such that the statistical distance between the following two distribution ensembles is negligible in k :

$$\left\{ (\text{pk}, \text{sk}) \leftarrow \text{KGen}(1^k) : (\text{sk}, \text{pk}, \text{trans}(\langle \mathcal{P}(\text{sk}), \mathcal{V}(\text{pk}) \rangle)) \right\}$$

$$\left\{ (\text{pk}, \text{sk}) \leftarrow \text{KGen}(1^k) : (\text{sk}, \text{pk}, \mathcal{S}(\text{pk})) \right\}$$

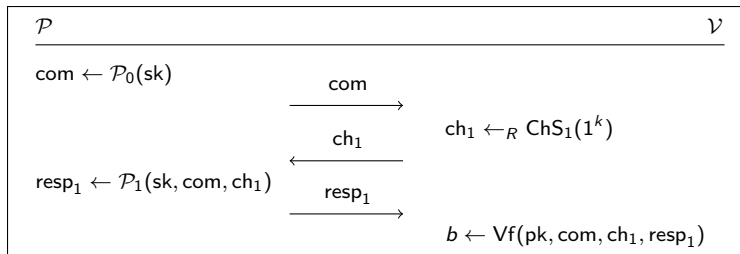


Figure : Canonical 3-pass IDS

Fiat-Shamir Transform

SIGN(sk, M)

$\text{com} \leftarrow \mathcal{P}_0(\text{sk})$

$\text{ch}_1 \leftarrow \mathcal{H}(\text{com} \| M) \in \text{ChS}_1(1^k)$

$\text{resp}_1 \leftarrow \mathcal{P}_1(\text{sk}, \text{com}, \text{ch}_1)$

return $\sigma = (\text{com}, \text{resp}_1)$

VF(pk, M, σ)

$\text{ch}_1 \leftarrow \mathcal{H}(\text{com} \| M)$

return $b \leftarrow \text{Vf}(\text{pk}, \text{com}, \text{ch}_1, \text{resp}_1)$

Figure : Generic Fiat-Shamir Signatures

- Pointcheval & Stern (JoC 2000): Secure if IDS
 - HVZK, and
 - achieves special soundness.
- Proof in ROM
- Idea: Rewind \mathcal{A} and change RO answers to obtain two transcripts with different ch_1 (Forking Lemma).
- HVZK allows to simulate Sign-oracle without sk .

El Yousfi Alaoui et al. idea (for 5-pass)

Canonical 5-pass IDS

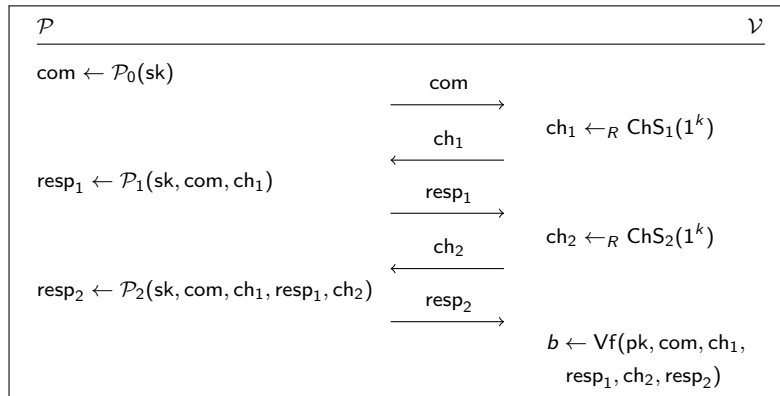


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$\text{ch}_2 \leftarrow \mathcal{H}(\text{com} \| \text{ch}_1 \| \text{resp}_1 \| M) \in \text{ChS}_2(1^k)$

$\text{resp}_2 \leftarrow \mathcal{P}_2(\text{sk}, \text{com}, \text{ch}_1, \text{resp}_1, \text{ch}_2)$

return $\sigma = (\text{com}, \text{resp}_1, \text{resp}_2)$

$\text{VF}(\text{pk}, M, \sigma)$

$\text{ch}_1 \leftarrow \mathcal{H}(\text{com} \| M)$

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 $\text{resp}_1, \text{ch}_2, \text{resp}_2)$

Figure : Generic Fiat-Shamir Signatures from 5-pass IDS

- El Yousfi Alaoui et al. (Africacrypt 2012): Secure if IDS
 - HVZK, and
 - achieves **n -special soundness**.
- Proof almost identical to Pointcheval & Stern

Definition (Special n -soundness (informal))

There exists a PPT extractor \mathcal{E} that extracts a secret key given pk and two accepting transcripts that differ in last challenge.

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Result 1

Theorem

Let $IDS = (\text{KGen}, \mathcal{P}, \mathcal{V})$ be a canonical 5-pass IDS that fulfills special n -soundness. Then IDS can be transformed into a canonical 3-pass IDS $IDS' = (\text{KGen}, \mathcal{P}', \mathcal{V}')$ that fulfills special soundness and HVZK. Moreover, IDS' is at least as efficient as IDS .

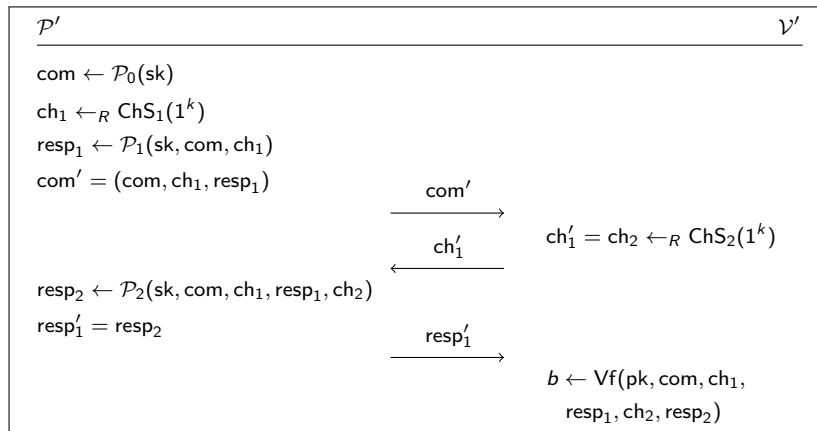


Figure : From 5-pass to 3-pass

HVZK

- Use simulator for IDS and just reorder first three messages into a single one.

Special soundness

- Reduction: If there exists an extractor for IDS' we can use it for IDS too.
- Again, just transform transcripts.

So does this work for all 5-pass IDS?

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No!

Most 5-pass IDS do not fulfill special n -soundness

Result 2

The 5-pass \mathcal{MQ} -IDS from Sakumoto et al. does not fulfill special n -soundness

- It is trivial to generate two accepting transcripts that disagree in last challenge. (Soundness error = $\frac{1}{2} + \frac{1}{2q}$)
- There only exists an extractor for **four** transcripts with

$$\text{ch}_1^1 = \text{ch}_1^2 \neq \text{ch}_1^3 = \text{ch}_1^4$$

$$\text{ch}_2^1 \neq \text{ch}_2^2 \wedge \text{ch}_2^3 \neq \text{ch}_2^4$$

$$(\text{ChS}_1 = [0, q], \text{ChS}_2 = \{0, 1\})$$

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$$(\text{ChS}_1 = [0, q], \text{ChS}_2 = \{0, 1\})$$

More results in paper

- ✓ Fixed transform & reductions for “ $q2$ -IDS”.
- ✓ Specified a full construction using 5-pass MQ -IDS + security reduction.
- ✓ Selected parameters with 128bits security against quantum-computer-aided attacks.
- ✓ Optimized implementation of Signatures from 3- and 5-pass MQ -IDS.

Paper will be on eprint soon...

Some concluding thoughts

- Dear reviewers, ... **please check the proofs** (at least for accepted papers).
- There were two clear mistakes in two places in El Yousfi et al. (parallel composition, 5-pass \mathcal{MQ} -IDS fulfills special n -soundness).
- Dear authors,... **please publish your full proofs!**
- Sakumoto et al. only published incredibly hard to read proof sketches.

This was not a single persons fault many people contributed to this.